## Attorney's Docket No. 050588/305341 IN THE UNITED STATES DESIGNATED OFFICE (DO/US) Attorney's Docket No. 050588/305341

In re: Swart et al.

Attn: DO/US

International Appl. No.: PCT/IB2004/050947

International Filing Date: 21 June 2004

For: APPARATUS FOR EQUALIZING A SPECTRUM OF

A BROADBAND LIGHT SOURCE

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Sir:

The patents listed on the attached PTO-1449 were cited in the International Search Report of corresponding International Application No.PCT/IB2004/050947. A copy of the Search Report is enclosed for the Examiner's convenience. In accordance with the Office waiver published July 11, 2003, copies of the cited U.S. patents and patent application publications are not enclosed. Applicant does enclose copies of any cited foreign patent documents and non-patent literature in accordance with 37 CFR 1.98(a)(2).

The Examiner may wish to consider the notations on the Search Report itself regarding the relevance of each item. It is requested that the Examiner consider these references and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By submitting the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead submitting the listed documents for the sake of full disclosure.

Respectfully submitted,

W. Kevin Ransom

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## IAP20 Rec'd PCT/PTO 19 DEC 2005

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Substitute for form 1449/PTO (Revised 07/2005)				Complete if Known		
				Application Number	TBA <b>AU/2012</b> U0	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				First Named Inventor	SWART et al.	
				Group Art Unit		
(Use as many sheets as necessary)			)	Examiner Name	TBA	
Sheet 1 of 2		Attorney Docket Number	050588/305341			

U. S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.	<u>Document Number</u> Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear		
	1	US-6,473,540 B1	10-29-2002	Augustsson			
	2	US-2002/0041433 A1	04-11-2002	Terahara			
	3	US-2002/0191274 A1	12-19-2002	Abe et al.	·		
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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.	Foreign Patent Document  Country Code - Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	English Language Translation Attached		
	4	EP 0 903 616 A2	03-24-1999	Lucent Technologies Inc.				
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Examiner	Date	
Signature	 Considered	

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

## IAP20 Res'd 727770 19 DEC 2005

Substitute for form 1449/PTO (Revised 07/2005)				Complete if Known			
				Application Number	TBAU/2015U0		
INTEGR	N	DICCLO	ermr	Filing Date	Concurrently herewith		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				First Named Inventor	SWART et al.		
				Group Art Unit			
			")	Examiner Name	TBA		
Sheet	2	of	2	Attorney Docket Number	050588/305341		

	OTHER DOCUMENTS	English			
Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or				
5	TACHIBANA ET AL., "Erbium-Doped Fiber Amplifier with Flattened Gain Spectrum", 8342 IEEE Photonics Technology Letters, Vol. 3, No. 2, February 1, 1991, pps. 118-120, XP-000203005, ISSN: 1041-1135.				
6	NARAYANAN ET AL., "Silica waveguide (SiWG) based Dynamic Gain Equalizing Filter (DGEF)", Proceedings of the Spie – The International Society for Optical Engineering Spie, Vol. 4870, 29 July 2002, pps. 84-88, XP-002296133, ISSN: 0277-786X.				
7	DOERR ET AL., "An Automatic 40-Wavelength Channelized Equalizer", IEEE Photonics Technology Letters, Vol. 12, No. 9, September 2000, pps. 1195-1197, XP-000968639, ISSN: 1041-1135.				
8	DOERR ET AL., "Dynamic Wavelength Equalizer in Silica Using the Single-Filtered-Arm Interferometer", IEEE Photonics Technology Letters, Vol. 11, No. 5, May 1999, pps. 581-583, XP-000830420, ISSN: 1041-1135.				
9	SWART ET AL., "Long-period grating filter with tunable attenuation for spectral equalization of erbium-doped fiber broadband light sources", Optical Engineering Spie USA, Vol. 43, No. 2, 25 September 2003, pps. 280-281, XP-002296134, ISSN: 0091-3286				
10	OFFREIN ET AL., "Adaptive Gain Equalizer in High-Index-Contrast SiON Technology", IEEE Photonics Technology Letters, Vol. 12, No. 5, May 2000, pps. 504-506, XP-000950312, ISSN: 1041-1135.				
11	International Search Report, mailed October 12, 2004.				
	No. 5 6 7 8 9 10	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.    TACHIBANA ET AL., "Erbium-Doped Fiber Amplifter with Flattened Gain Spectrum", 8342 IEEE Photonics Technology Letters, Vol. 3, No. 2, February 1, 1991, pps. 118-120, XP-000203005, ISSN: 1041-1135.    NARAYANAN ET AL., "Silica waveguide (SiWG) based Dynamic Gain Equalizing Filter (DGEF)", Proceedings of the Spie – The International Society for Optical Engineering Spie, Vol. 4870, 29 July 2002, pps. 84-88, XP-002296133, ISSN: 0277-786X.    DOERR ET AL., "An Automatic 40-Wavelength Channelized Equalizer", IEEE Photonics Technology Letters, Vol. 12, No. 9, September 2000, pps. 1195-1197, XP-000968639, ISSN: 1041-1135.    DOERR ET AL., "Dynamic Wavelength Equalizer in Silica Using the Single-Filtered-Arm Interferometer", IEEE Photonics Technology Letters, Vol. 11, No. 5, May 1999, pps. 581-583, XP-000830420, ISSN: 1041-1135.    SWART ET AL., "Long-period grating filter with tunable attenuation for spectral equalization of erbium-doped fiber broadband light sources", Optical Engineering Spie USA, Vol. 43, No. 2, 25 September 2003, pps. 280-281, XP-00296134, ISSN: 0091-3286    OFFREIN ET AL., "Adaptive Gain Equalizer in High-Index-Contrast SiON Technology", IEEE Photonics Technology Letters, Vol. 12, No. 5, May 2000, pps. 504-506, XP-000950312, ISSN: 1041-1135.			

Examiner	/F**	Date	11/04/2008
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